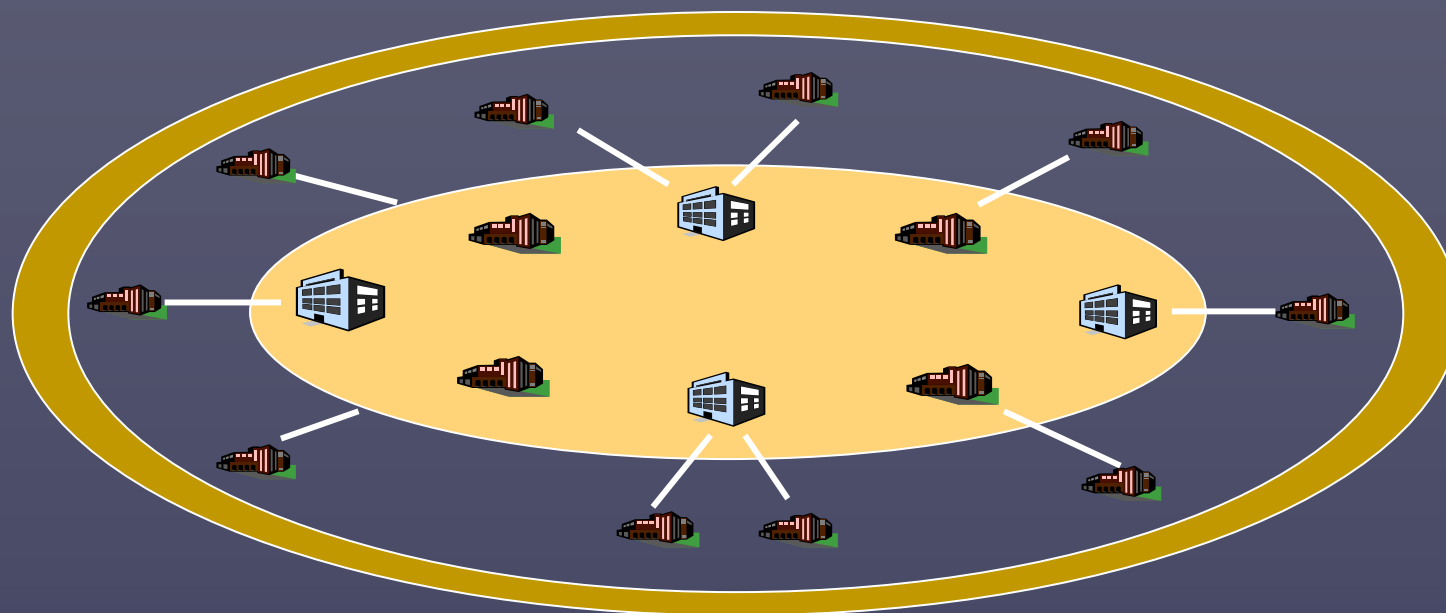


# *Models for Shared Print Archives: WEST and CRL*

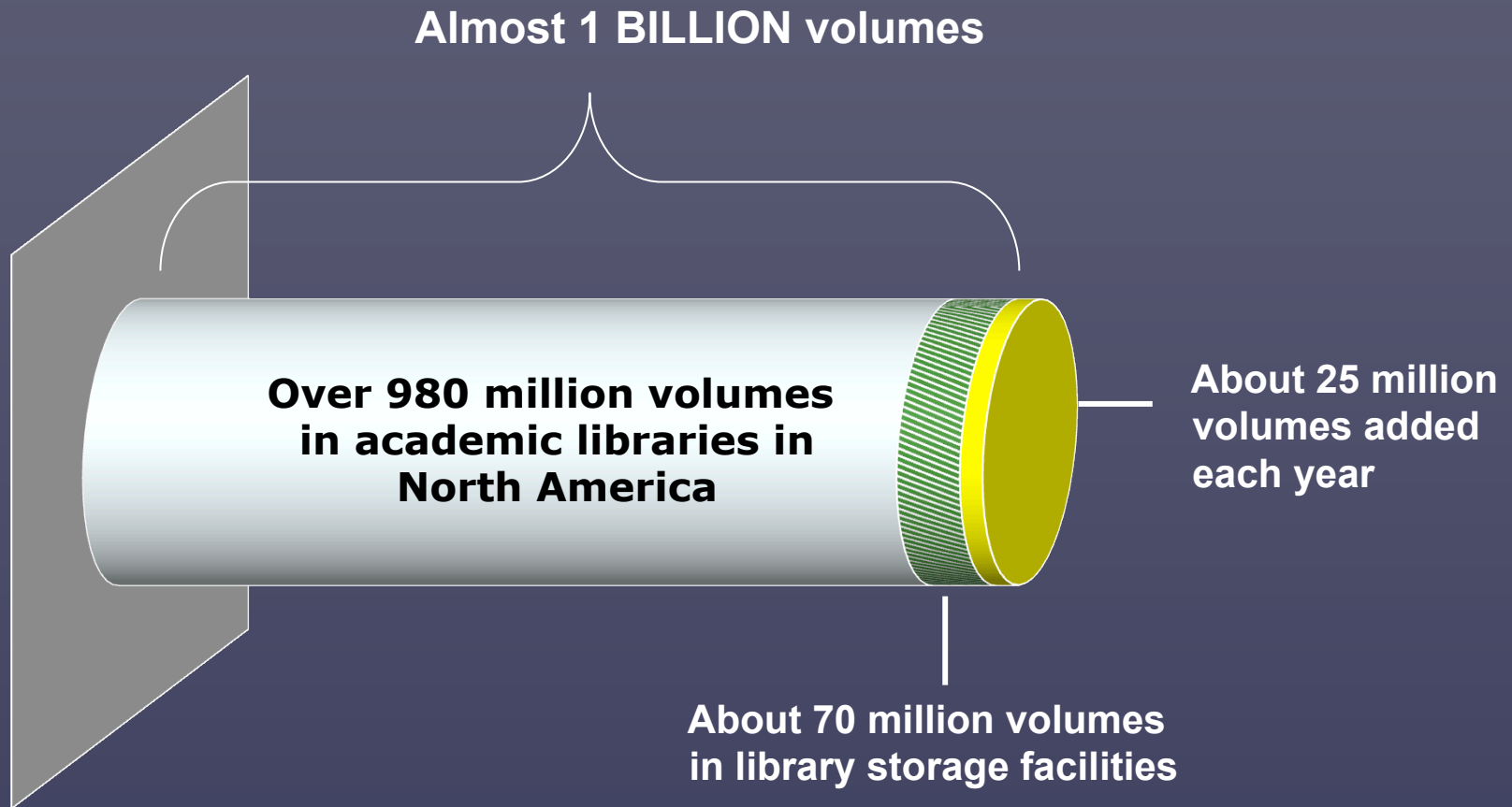
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Lizanne Payne  
Print Archives Program Manager  
Center for Research Libraries  
AND  
WEST Project Consultant



# North American Academic Library Holdings

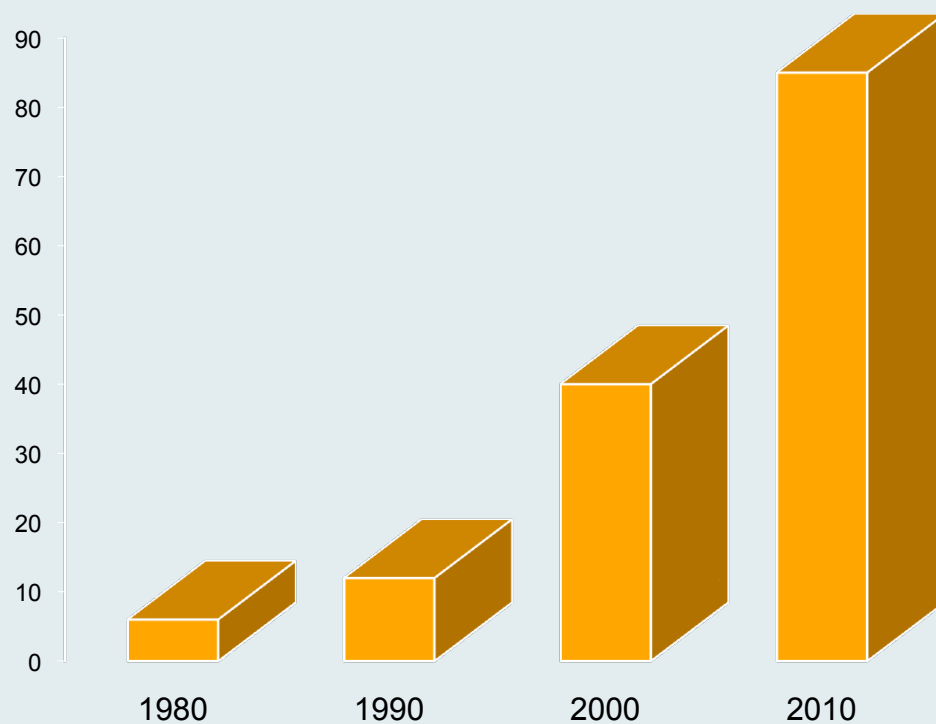


NCES ALS + ARL statistics 2008



## *Coping with Space Constraints*

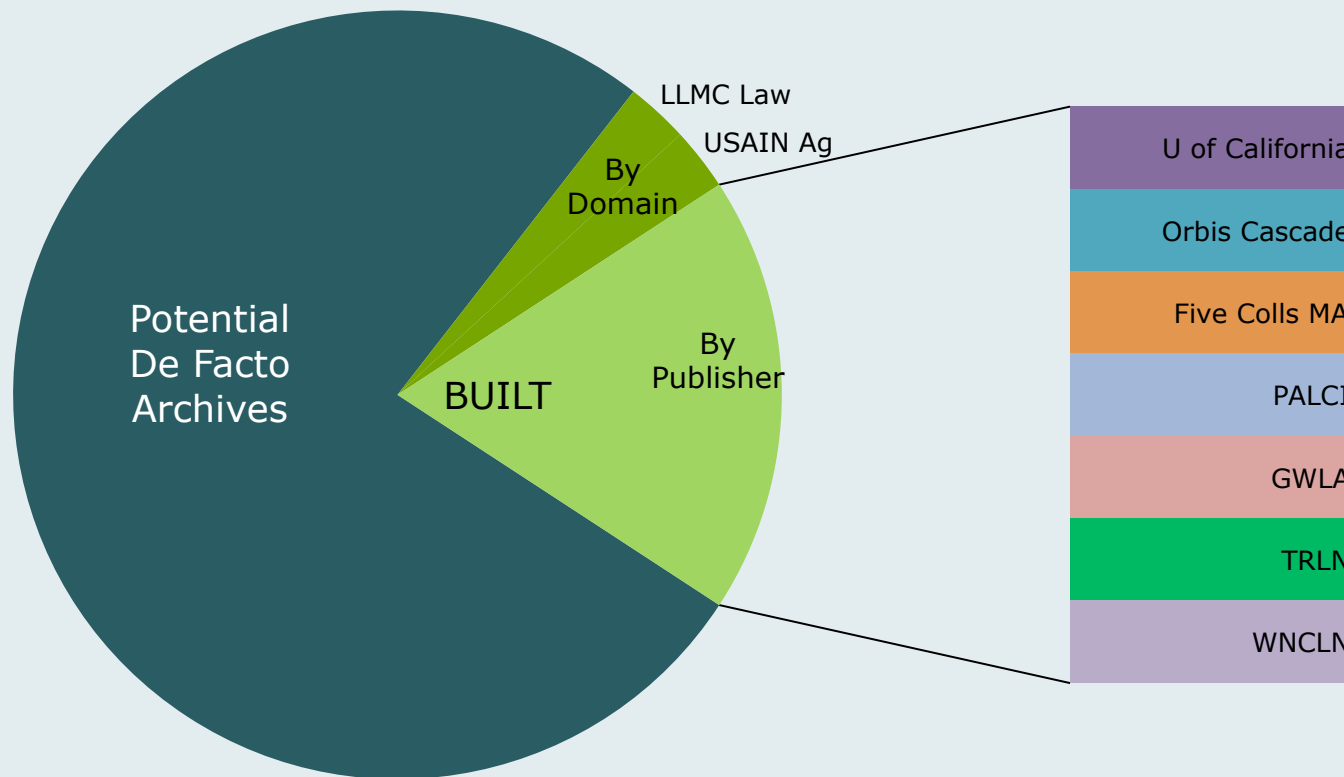
**Library storage facility: a separate building purpose-built for long-term storage of very large quantities of library materials**



**80+ library storage facilities, most built in the last 15 years**



# Shared Print Archive Initiatives in North America



De facto = Stored materials covered by explicit archiving agreement

Built = Proactively created by selection and consolidation



# *Characteristics of Print Archives*

## **Formal retention and service agreement**

- Time period for retention
- Access/delivery services provided

## **Selection criteria (varies)**

- Retrospective or prospective
- By publisher (JSTOR, ACS, IEEE, AIP) or domain (USAIN, LLMC)
- By format (Journals, monographs, gov docs)
- Library-nominated titles

## **Validation (varies)**

- To volume or issue or page
- None

## **Archive location**

- Centralized, possibly storage facility
- Distributed, library-based



***WESTERN REGIONAL STORAGE TRUST (WEST):  
AN INTERCONSORTIAL REGIONAL APPROACH***



*Image courtesy of the Bancroft Library  
University of California, Berkeley*

# ***WEST PLANNING PROJECT OVERVIEW***

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*Planning grant from The Andrew W. Mellon Foundation to the University of California Libraries (October 2009 – June 2010).*

*Principal Investigators: Brian Schottlaender (UCSD) and Daniel Greenstein (UCOP)*

Goal: Develop a shared retrospective journals repository among research libraries in the Western Region of the U.S.

Objectives: Develop selection criteria based on risk management, establish validation standards, design business model

Participants: U of California libraries, Washington, Washington State, Oregon, Oregon State, Arizona, Arizona State, other members of Orbis-Cascade Alliance, GWLA, and others

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# ***WEST PROJECT TIMETABLE***

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- ✓ Meeting 1: January 2010
  - ▶ Explored risk management and validation standards
  - ▶ Discussed alternative operating plans & business models
- ▶ Design Phase: February – May 2010
  - ▶ Develop selection and validation criteria
  - ▶ Analyze print holdings across participating institutions
  - ▶ Develop detailed business model(s)



## ***EMERGING OUTLINE OF WEST OPERATING PLAN (IN DISCUSSION)***

---

- ▶ House volumes in distributed archive locations at storage facilities or campus libraries with agreed environmental and security standards
- ▶ Use current OCLC features for disclosure and access to the extent possible
- ▶ Handle access & delivery via ILL
  - ▶ Usage predicted to be very low and would not justify cost or effort of a special process



## *Initial WEST Archiving Priorities (Proposed)*

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- ▶ Print copies of electronic journals with post-cancellation rights and trusted digital repository if available. Includes completing JSTOR archives at Orbis-Cascade Alliance and UC

*Risk profile = fairly low risk of losing access to content*

*Benefit = significant amounts of reclaimed space*

- ▶ Print-only journals of regional importance (moderate or high duplication within WEST but not nationally)

*Risk profile = Higher risk of losing access to content*

*Benefit = protection against unmanaged deselection while also reclaiming space*

- ▶ Many other future categories identified
- 



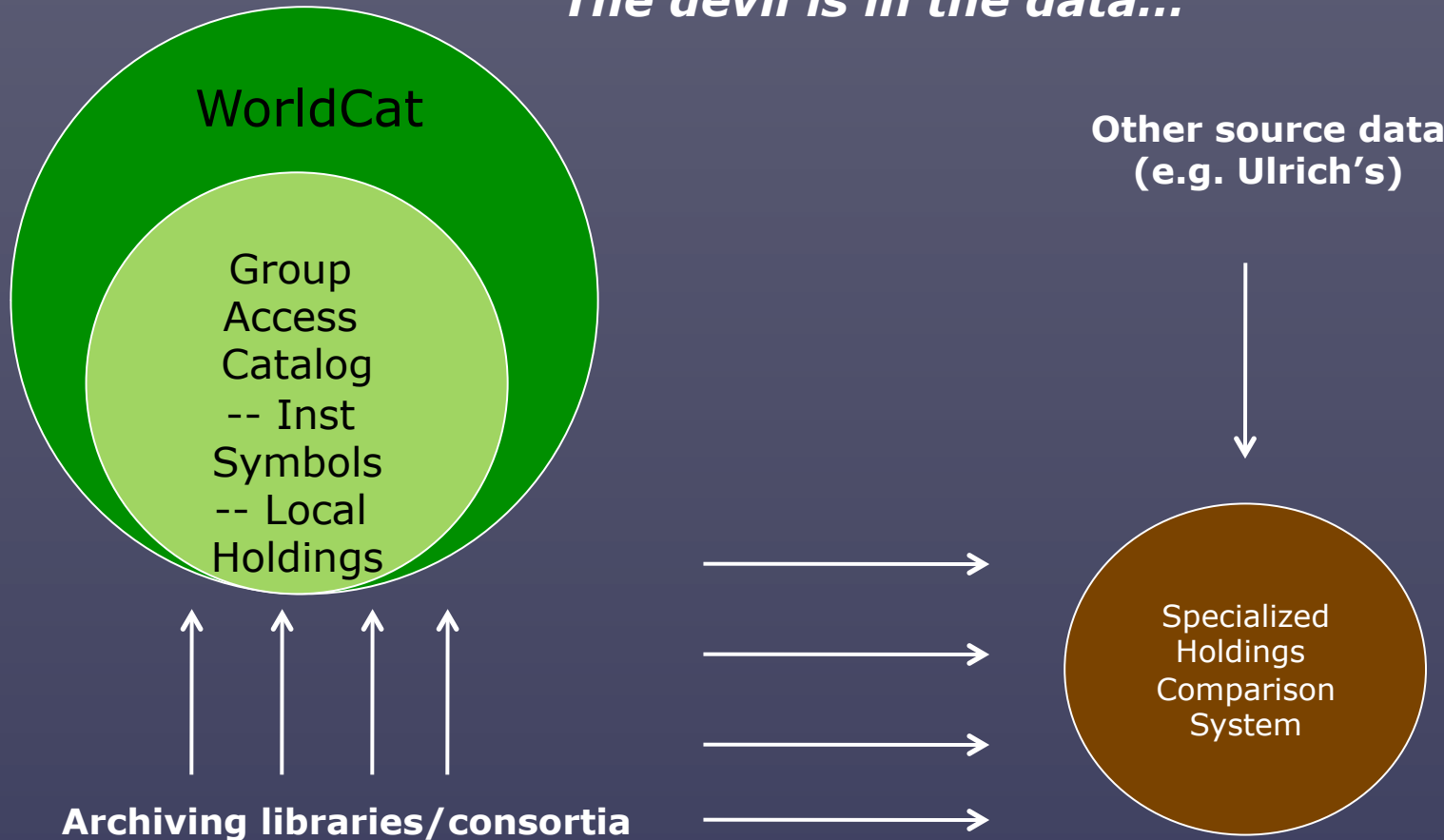
## ***WEST Archiving Levels (Proposed)***

<b><i>Characteristic</i></b>	<b><i>Bronze</i></b>	<b><i>Silver</i></b>	<b><i>Gold</i></b>	<b><i>Platinum</i></b>
Risk profile of title	Low risk	Moderate-risk	Higher-risk	High risk, high quality titles
Covered by Retention Agreement	Yes	Yes	Yes	Yes
Physical holdings verified, summary holdings updated	No	Yes	Yes	Yes
Fill gaps	No	Yes (casual)	Yes (active)	Yes (active)
Validation standards	None	Volume level	Issue-level	Page-level
Eligible for optimal copies	No	Yes	Yes	Yes



# Holdings Disclosure and Collection Analysis

*The devil is in the data...*



## ***WEST Business Model (Proposed) (evolving so far, NOT APPROVED)***

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- ▶ Divide shared project costs among all participants according to a formula TBD
- ▶ Shared costs include:
  - ▶ project management/administration
  - ▶ holdings comparison system and processing
  - ▶ **compensation to archivers:**
    - ▶ for validation and accessioning for a budgeted number of volumes each year
    - ▶ for space occupied by print archive volumes
- ▶ Business model still under discussion



## *Next Steps for WEST*

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- ▶ Meeting 2: early June 2010
  - ▶ Review proposed business model, MOU, and initial title selection
- ▶ Summer 2010
  - ▶ Finalize participation



# *CRL Proposal*

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# *CRL Proposal*

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CRL proposes to work with partner consortia to develop a collaborative framework for archiving print journals, newspapers, and government documents in North America (and beyond)



# *Consortial and CRL Strengths*

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## Consortia

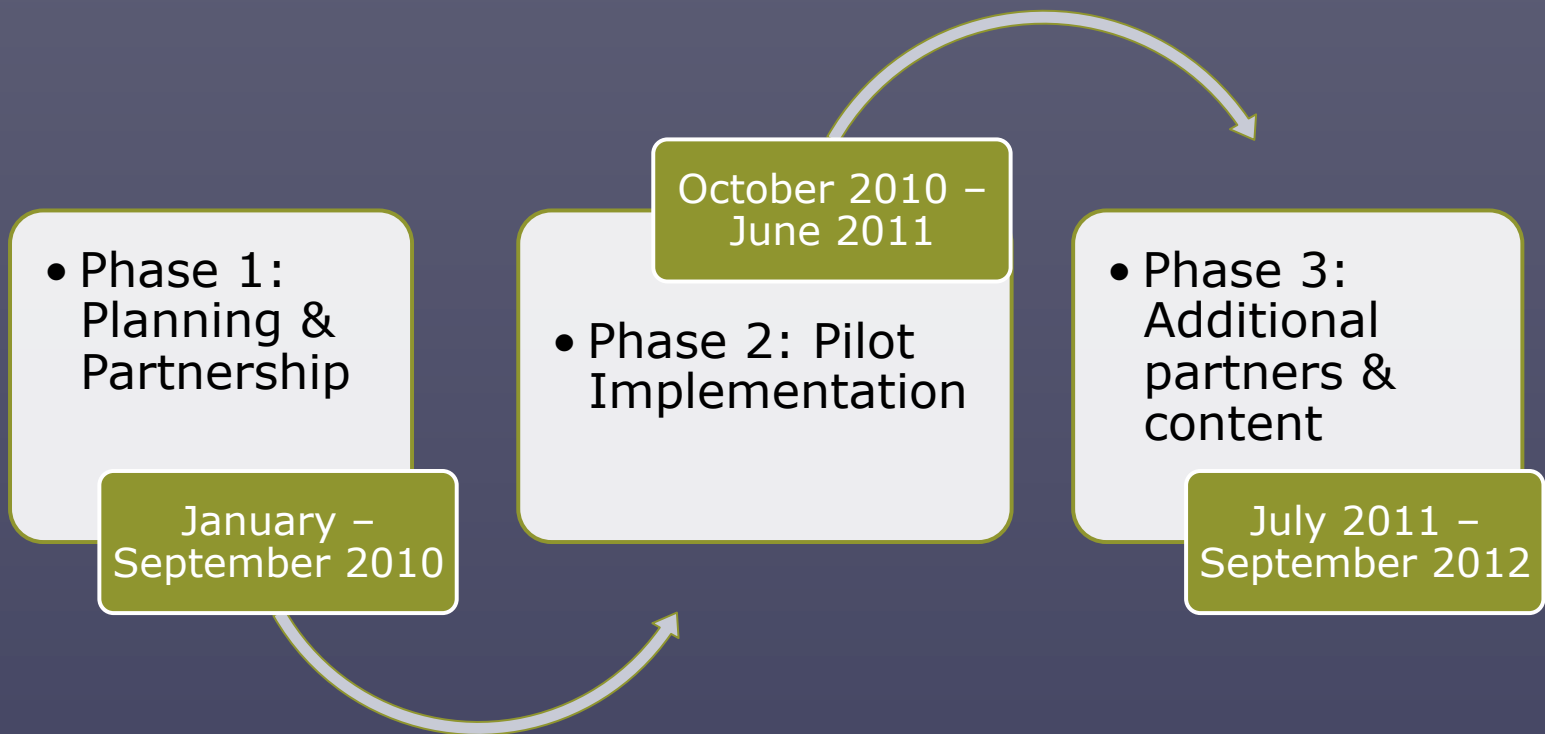
- Long-term relationships with libraries in their regions or domains
- Operational resource-sharing programs among members
- Groundswell of shared print programs underway

## CRL

- Long-term focus on print preservation
- Over 250 libraries in North America and beyond, members of most other consortia
- Network-level service deployment and administration



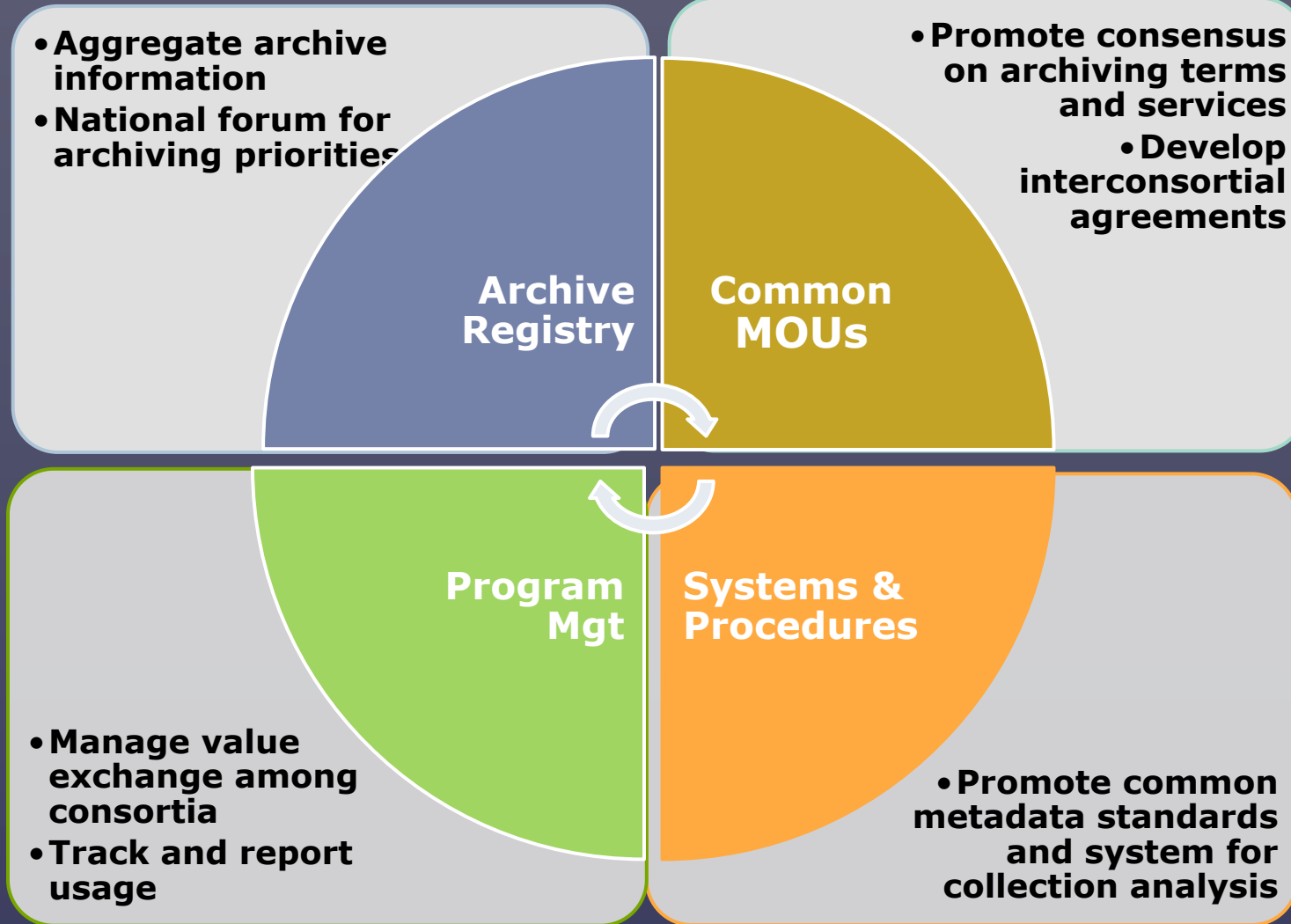
# CRL Project Phases



Service Agreements  
Collection analysis system & procedures  
Business Model



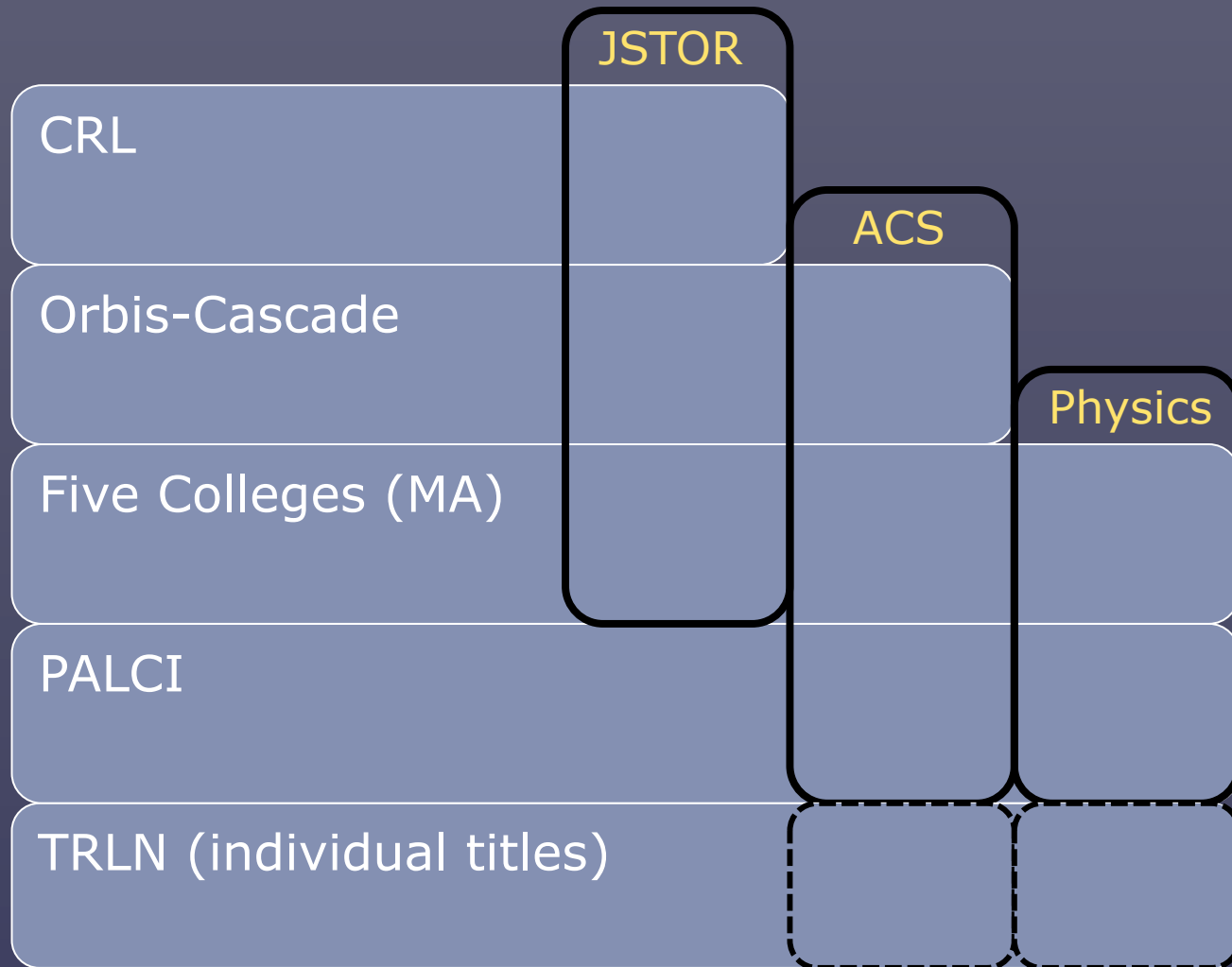
# *CRL as Integrating Framework*



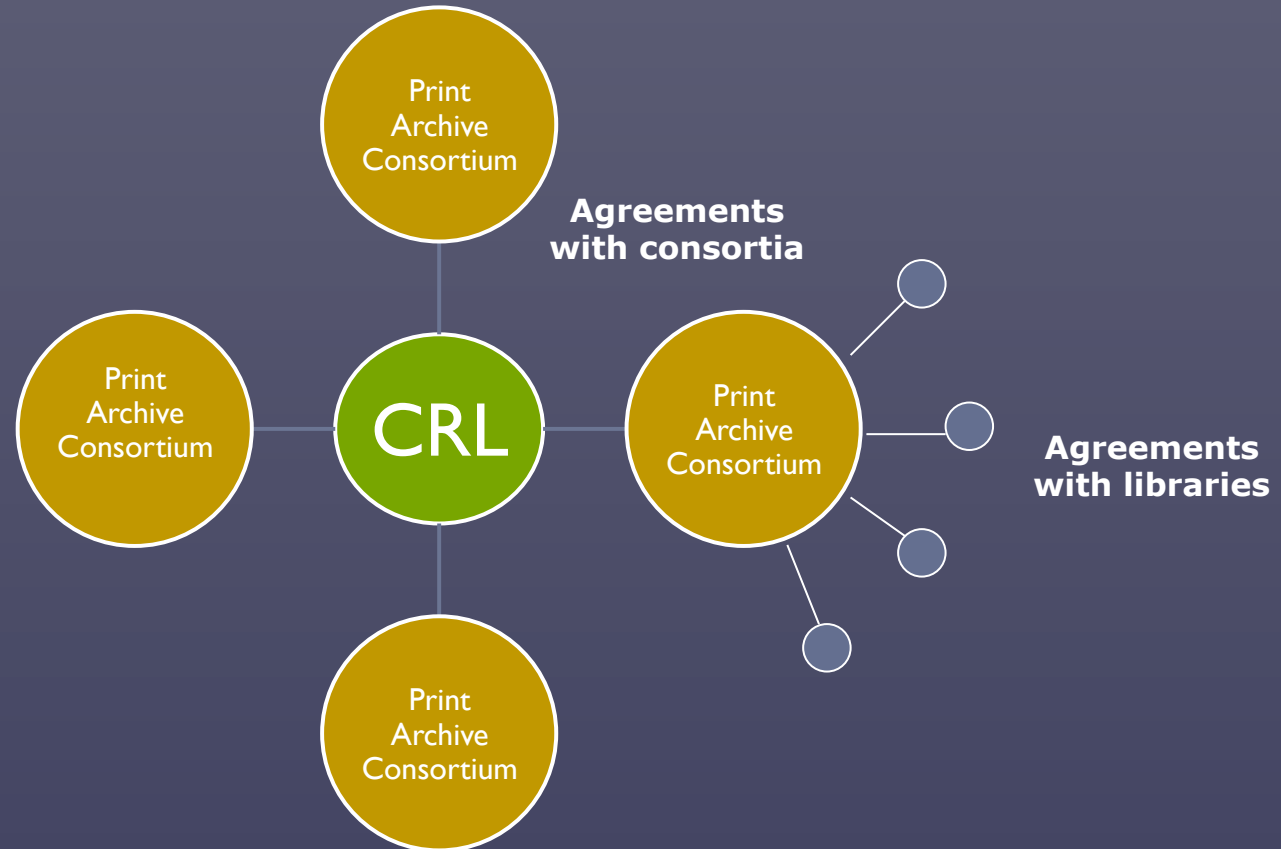
# *CRL Prototype Project*

## *Build on Existing Publisher-Based Archives*

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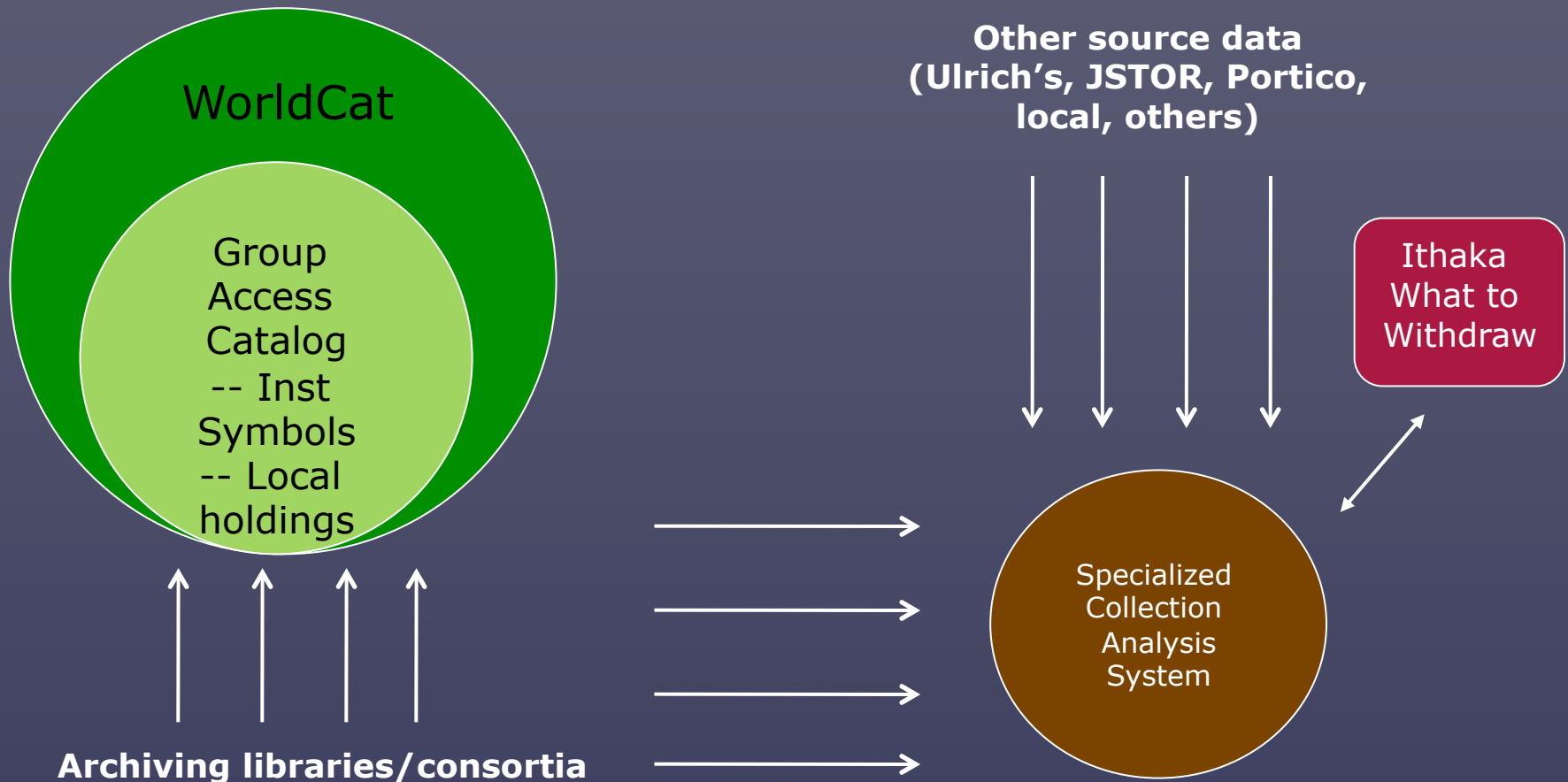
# Network of Service Agreements



**Consortium members would have access to complementary consortium archives through CRL agreement**



# Holdings Disclosure and Collection Analysis



**Benefits from centralization**



# ***CRL Archive Registry: Prototype Listing of Print Archive Holdings***

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<b>ISSN</b>	<b>Title and Consortium and Holdings</b>
0002-7294	+ American anthropologist
0002-7316	- American antiquity
	CRL
	J-162 v.1-63 (1935-1998) J-753 v.64 (1999) J-1910 v.65-67 (2000-2002) J-1500 v. 68 (2003) J-2039 v.69-71 (2004-2006) J-162 Index, v.1-30 (1935-1965) WANT: v. 72 (2007)-
	Five Colls MA
	1 (1935)-[63]-64 (1999)
	ORBIS
	1935-1999
0002-7359	+ The American art journal

**<http://www.crl.edu/archiving-preservation/print-archives/print-archive-projects-and-holdings>**



## *Proposed CRL Funding Model (under discussion)*

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Divide the central framework costs among participating consortia according to a formula (TBD).

- Program management
- Archive registry and holdings comparison system and processing

*Benefits from centralization*



## *Other Print Archiving Initiatives to Watch*

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- ▶ ASERL distributed centers of excellence for FDLP print collections
- ▶ LYRASIS proposal to IMLS to plan for archiving monographs (with partners CRL, CDL, and CIC)



## *For Additional Information*

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### **WEST**

*<http://www.cdlib.org/services/collections/sharedprint/initiatives.html>*

### **CRL**

*<http://www.crl.edu/archiving-preservation/print-archives>*

### **Lizanne Payne**

*[lpayne@crl.edu](mailto:lpayne@crl.edu)  
[lizannepayne03@gmail.com](mailto:lizannepayne03@gmail.com)*

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