

OPEN ACCESS FUNDS IN ACTION

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	Extends through winter 2010	<p>- Applicant must be a UO tenure-related faculty member, non-tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a completed article for publication.</p> <p>- Applicant must be listed as one of the authors, and article must indicate UO affiliation.</p>	<p>Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer-reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in journals that are primarily subscription based rather than open access.</p> <p>Journals listed in the Directory of Open Access Journals (http://www.doaj.org/doaj) are assumed to qualify. For publication in a journal not listed there, additional information to allow assessment of whether the journal meets our criteria will be required as part of the application process.</p>	<p>- Up to 100% of author fee for publishing a peer-reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article.</p> <p>- Maximum reimbursement will be \$3,000 per person over the period of this pilot project. Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.)</p> <p>- Reimbursement will be available only in cases where the authors do not have adequate grant or contract funding specifically designated to cover author fees. Authors with funding designated to cover publication costs such as author fees from grants, contracts, or other institutional funds are asked to use those funds first and to allow others to share in the benefit of open publications.</p>	<p># Articles Approved: 19 # Articles Reimbursed: 14 # Unique Submitting Authors: 13 # Unique Departments: 5 # Unique Journals: 11 # Unique Publishers: 5</p> <p>NOTES: Data through January, 2011.</p>

University of Wisconsin – Madison Libraries	Supported by unrestricted gift funds to library	July 2005	Open-ended subject to internal resource constraints	<ul style="list-style-type: none"> - Any University of Wisconsin-Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author. 	<ul style="list-style-type: none"> - Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. - Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. 	<ul style="list-style-type: none"> - Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). - Up to 30% for publishing in a journal that provides authors with an open access option. - Reimbursement will not exceed \$1,500 per article. - Authors must cover the balance of the open access charges through grants or other funds. 	<ul style="list-style-type: none"> # articles approved: 8 # articles reimbursed: 8 # unique submitting authors: 8 # unique departments: 6 # unique journals: 7 # unique publishers: 6 <p>NOTES: Data above cover date range from 2/8/08 - 1/31/11</p>
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University of Tennessee, Knoxville Office of Research and the University Libraries	\$20,000	October, 2008	Extends through June 2009 or until funding is exhausted and will be evaluated	Any UTK faculty member, post-doctoral associate, or currently enrolled graduate student.	<ul style="list-style-type: none"> - Article processing fees levied by peer-reviewed open access publishers such as the Public Library of Science and BioMedCentral. - Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. - Hybrid journals that make only selected articles open access are not included in the pilot project. 	<ul style="list-style-type: none"> Funds are awarded on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. - Authors with grant support to cover open access publishing are requested not to apply. 	<ul style="list-style-type: none"> # Articles Approved: 33 # Articles Reimbursed: 22 # Unique Submitting Authors: 27 # Unique Departments: 12 # Unique Journals: 19 # Unique Publishers: 9 <p>NOTES: Data through January, 2011.</p> <p>Poster presentation about the service available at: http://trace.tennessee.edu/utk_libfpubs/1/</p>
UC Berkeley Research Impact Initiative (co-sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$60,000	January 21, 2008	None	UC Berkeley faculty members, post-docs, and graduate students	<ul style="list-style-type: none"> - Articles must be made freely available at the time of initial publication. No embargo periods. - Article may be published in any open access publication, or hybrid journal offering a paid access option. 	<ul style="list-style-type: none"> - Maximum reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. 	<ul style="list-style-type: none"> # Articles Approved: 101 # Articles Reimbursed: 61 # Unique Submitting Authors: 85 # Unique Departments: 17 # Unique Journals: 34 # Unique Publishers: 17 <p>NOTES: Data through January, 2011.</p>

Libraries and Cultural Resources, University of Calgary	\$100,000 (CAN)	June 2008	None. The Fund is in its second year and is expected to continue.	University of Calgary faculty, staff, graduate students, and postdocs.	<ul style="list-style-type: none"> - Publication fees for peer-reviewed articles that have been accepted in journals which are fully open access. - Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid") are eligible if the publisher reduces subscription costs in response to the take-up of the OA option. 	<ul style="list-style-type: none"> - If eligible, the entire fee is covered. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	<p># Articles Approved: 151 # Articles Reimbursed: 119 # Unique Submitting Authors: 125* # Unique Departments: 36* # Unique Journals: 82* # Unique Publishers: 10*</p> <p>NOTES: Data as of January 3, 2011.</p> <p>* indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope</p>
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library funding)	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library	March 2005	Until money expended; hope to raise more	UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	<ul style="list-style-type: none"> - Award maximum is \$1000 per article. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	<p># Articles Approved: 44 # Articles Reimbursed: 38 # Unique Submitting Authors: 34 # Unique Departments: 7* # Unique Journals: 27 # Unique Publishers: 10</p> <p>NOTES: Data through January, 2011.</p> <p>*UNC categorizes data by school (e.g., medicine, dentistry) rather than department.</p>
Cornell University Library	\$50,000	September, 2009	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project proves to be valuable to scholarly communication, COAP may receive continued funding.	Available to any Cornell faculty, post-doctoral researcher, staff member, or student author.	Publication and processing fees for scholarly peer-reviewed articles in open-access journals meeting these requirements: <ul style="list-style-type: none"> * Listed in the Directory of Open Access Journals * Member of the Open Access Scholarly Publishers Association or adherent to its Code of Conduct * Provide unfettered access to all peer-reviewed articles (journals with a hybrid open-access model or delayed open-access model are not eligible) 	<ul style="list-style-type: none"> - Articles for which alternate publication funding is available are not eligible for COAP funds. This includes articles whose research was funded by grants or gifts that allow grant funds to be used for article processing fees (regardless of whether that particular grant had budgeted for such fees). - Reimbursement cap for a single article is \$3,000. - Authors may receive reimbursement for up to \$3,000 per year for all submission and publication charges. - Multiple Authorship: In the case of an 	<p># Articles Approved: 8 # Articles Reimbursed: 8 # Unique Submitting Authors: 24 # Unique Departments: 6 # Unique Journals: 6 # Unique Publishers: 6</p> <p>NOTES: Data through January, 2011.</p>

					<p>* Publicly available standard article fee schedule.</p> <p>* Policy to waive fees in case of economic hardship.</p>	<p>article with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are Cornellians, each may enter an application for \$1,000. If both apply, \$2,000 will be sent to the journal. If the journal has a \$6,000 publication fee, the \$3,000 per article cap will apply and each Cornell author may only apply for \$1,000.</p>	
<p>Wake Forest University Z. Smith Reynolds Library</p>	<p>\$6,500 (library contribution; 1/3 of total fund)</p>	<p>Early 2008</p>	<p>None, although program is regularly assessed and evaluated</p>	<p>Priority is given to faculty who do not have grant funding to pay for these expenses. Under the current agreement, the costs are split three ways among the library, the Office of Research, and the author's home department.</p> <p>Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available funds from the grant or contract before seeking WFU funds.</p> <p>Open only to Reynolds Campus faculty; excludes WFU Health Sciences and School of Medicine faculty.</p>	<p>Articles must be publicly available immediately upon publication.</p> <p>Articles may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee).</p>	<p>- No limit on size of request faculty can make.</p>	<p># Articles Approved: 7 # Articles Reimbursed: 7 # Unique Submitting Authors: 7 # Unique Departments: 6 # Unique Journals: 7 # Unique Publishers: 6</p> <p>NOTES:.Data through of January, 2011.</p>

Harvard University Library/Office of Scholarly Communication	None	Fall, 2009	None	<p>Funds are available for researchers at Harvard schools that have instituted an open-access policy. Currently, these are: FAS (including SEAS), HLS, HKS, HGSE. Faculty, researchers, staff, and students may request reimbursement for articles connected with their research activities at these schools.</p> <p>- All authors agree to post a copy of their article in the institutional repository.</p>	<p>- Reimbursable article-processing fees may include publication fees (charges levied on articles accepted for publication, including page charges), and submission fees (charges levied on articles submitted for publication). Eligible fees must be based on a publication's standard fee schedule that is independent of the author's institution.</p> <p>- Articles for which alternative funding is available are not eligible for reimbursement.</p> <p>- The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. Journals with a hybrid open-access model or delayed open-access model are not eligible. To be eligible, a journal must meet these additional requirements:</p> <ul style="list-style-type: none"> * Be listed in the Directory of Open Access Journals, * Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, * Have publicly available a standard article fee schedule, and * Have a policy to substantially waive fees in case of economic hardship. 	<p>- \$3,000 per article</p> <p>- There is no limit on the number of articles reimbursed, except that authors may receive reimbursement for up to a total of \$3,000 per academic year for all article processing fees. Reimbursement can cover 100% of fees up to the cap. Unused amounts do not roll over to future years. Exceptions to the \$3,000 cap may be made based on availability of funds.</p> <p>- In the case of an article with multiple authors, each author is responsible for a prorated portion of any publishing fees.</p>	<p># Articles Approved: 2 # Articles Reimbursed: 1 # Unique Submitting Authors: 6 # Unique Departments: 6 # Unique Journals: 6 # Unique Publishers: 6</p> <p>NOTES: Data through January, 2011.</p>
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Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011	January 2010	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program.	COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: -- Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain); -- Articles funded by an institution that itself pays publication fees on behalf of the author (such as Wellcome Trust). Authors may receive funding for up to \$3,000 per year for all article-processing charges. Unused amounts do not roll over to future years. The most an author can request for a single article is also \$3,000. In the case of an article with multiple authors, each eligible author can apply for reimbursement for a prorated portion of the publication fee. An example: An article with three authors, two of whom are from Columbia, is to appear in a journal with a \$3,000 publication fee. Each Columbia author may apply for reimbursement for \$1,000. In the hypothetical case of an eligible journal with a \$6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000.	# Articles Approved: 2 # Articles Reimbursed: 1 # Unique Submitting Authors: 2 # Unique Submitting Departments: 2 # Unique Journals: 2 # Unique Publishers: 2 NOTES: Data through January, 2011.
MIT Libraries	Pilot funding for FY2011	June 2010	This is a pilot project subject to periodic evaluation	Current MIT faculty	Open-access journals that: * Are peer reviewed	The subsidy is limited to \$1,000 per article, regardless of the number of authors.	# Articles Approved: 1 # Articles Reimbursed: 1 # Unique Submitting Authors: 1

					<ul style="list-style-type: none"> * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship <p>Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible.</p> <p>Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.</p>		<p># Unique Departments: 1 # Unique Journals: 1 # Unique Publishers: 1</p> <p>NOTES: Data through January, 2011.</p>
Duke University Libraries and the Office of the Provost	Up to \$20,000, half from the Libraries and half from the Provost	September, 2010	Subject to periodic evaluation	Duke faculty members, post-doc researchers, and graduate or professional students	<p>Articles accepted for publication in a peer-reviewed, open access publication, as determined by:</p> <ul style="list-style-type: none"> * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct <p>Only journals that are fully open access based on a published</p>	<p>Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per-article reimbursement of \$2,000. Unused funds do not roll over to future years.</p> <p>When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the annual cap.</p> <p>Articles that are supported by a gift or</p>	<p># Articles Approved: 3 # Articles Reimbursed: 2 # Unique Submitting Authors: 5 # Unique Departments: 5 # Unique Journals: 4 # Unique Publishers: 4</p> <p>NOTES: As of January, 2011.</p>

					<p>schedule of article processing fees are eligible. The fund cannot be used to support “hybrid” open access publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.</p>	<p>grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds.</p>	
<p>University of Michigan Library and the Office of the Provost</p>	\$30,000	September, 2010	2 years, continuation based on evaluation	<p>All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.</p>	<p>Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work:</p> <ul style="list-style-type: none"> *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access publication fee is less than \$1500. 	<p>Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria:</p> <ul style="list-style-type: none"> *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher’s open access fee. *Publish in fully open journals: An open journal is one for which all peer-reviewed content is available freely to readers. If the work will appear in a “hybrid” journal — one for which some of the peer-reviewed work is only available to paid subscribers — we will fund the lesser of \$1000 or 50% of the publisher’s fee. *Assure access is immediate upon publication: If access to the published article is not immediate we will fund the lesser of \$1500 or 50% of the publisher’s fee. If the publisher will delay access longer than 12 months, we will not provide any funding. * Use grant funding wherever possible: If your grant funding could have been applied to publishing charges, we will fund the lesser of \$1500 or 50% of the publisher’s fee. We will only do 	<p># Articles Approved: 16 # Articles Reimbursed: 4 # Unique Submitting Authors: 15 # Unique Departments: 3 # Unique Journals: 3 # Unique Publishers: 3</p> <p>NOTES: As of January 2011. Approved articles include submissions not yet completed and otherwise not fulfilled.</p>

						this once for an author/group of authors, since such fees should be included in future grant applications.	
University of Florida Provost's Office	\$120,000 over 18 – 24 months	July 1, 2010	The project will be reviewed after 18 months according to a set criteria .	All University of Florida faculty, post-doctoral researchers, staff members, and students.	<p>Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct.</p> <p>A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.</p>	<p>* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>* Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher.</p> <p>* UFOAP funds are intended to support open access to research articles where publication fees are not covered by grants or other funding sources. In order to encourage inclusion of publication fees in future grant applications, articles resulting from awarded grants that allow for budgeting of article processing fees are excluded unless the grant application was submitted prior to the establishment of the UFOAP, July 1, 2010.</p> <p>* Funding for multi-authored articles will be prorated. If the processing fee is \$3,000 and there are four UF authors, each may apply for \$750. For fees exceeding the \$3,000 cap per article, each UF author may apply only for his/her prorated portion not to exceed the \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access.</p>	<p># Articles Approved: 24 # Articles Reimbursed: 24 # Unique Submitting Authors: 23 # Unique Departments: 16 # Unique Journals: 17 # Unique Publishers: 10 NOTES: As of January 2011.</p>
Southern Illinois University Carbondale Morris Library	\$25,000 for the first year	Fall 2011	The Fund is a pilot project which will be reviewed at the end of FY12, and annually thereafter.	Grants are available to faculty, including nontenure track faculty, and graduate students.	- Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes:	<p>- For fully open access journals: \$3000 per article and per year per author</p> <p>- For hybrid journals: \$1500 per article and per year or 50% of the Open Access</p>	

					<ul style="list-style-type: none"> • Members of the Open Access Scholarly Publishers Association (OASPA) • All journals in the Directory of Open Access Journals that allow authors to retain distribution rights • Hybrid journals that allow authors to retain distribution rights. <p>- Journals must not have an embargo period for access.</p> <p>- Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.</p>	<p>fee, whichever is less.</p> <p>- Articles whose underlying research was funded by grants that allow funds to be used for article-processing fees (regardless of whether that particular grant budgeted for such fees) are not eligible for funding.</p>	
University Libraries University of North Carolina at Greensboro	\$7,500 for the fiscal year 2011-2012	Fall 2011	The Fund is a pilot project which will be reviewed at the end of FY12.	The author/applicant must be a tenured or tenure-track faculty member at UNCG.	- The article must be published in a peer-reviewed open-access journal.	<p>- Reimbursement will not exceed \$750 per article.</p> <p>- Reimbursement will be limited to one award per fiscal-year per author.</p> <p>- Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.</p>	
Grand Valley State University Libraries	\$25,000/yr	Fall 2011	The Fund will be reviewed annually adjustments made as necessary.	<p>- Applicant must be a current GVSU tenure-track, visiting, affiliate and adjunct faculty, staff, or currently registered graduate student.</p> <p>- Applicant must be listed as one of the authors, and article must indicate GVSU</p>	<p>- Funding is available for newly published (within the past six months), peer reviewed articles in open access or hybrid journals that charge a publication fee associated with the cost making an article freely available.</p> <p>- Costs for reprints, color illustration</p>	<p>- There is no limit to the per article amount that can be funded, but an author may not receive more than \$3,000 in one year.</p> <p>- Authors with grant support or external funding to cover publishing fees are ineligible.</p>	

				<p>affiliation.</p>	<p>fees, non-open access page charges, administrative charges and other fees are not applicable.</p> <p>- Articles must be made freely available on the web at the time of initial publication, with no embargo period.</p> <p>- Eligible journals must be listed in the Directory of Open Access and Hybrid Journals or meet the DOAJ's selection criteria. The Libraries has a selective list of open access and hybrid publisher fees for quick reference.</p> <p>- Eligible journals must make their fee schedule publicly available online.</p>	<p>- For articles with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are from GVSU, each may separately apply for \$1,000. If both apply, up to \$2,000 of the fees will be reimbursed, with \$1,000 being applied to each person's \$3,000 annual award limit.</p>	
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