Information of Interest:
Print Archive Network (PAN) http://www.crl.edu/fr/programs/print-archive-network-pan

Shared Print Programs. SPEC Kit 345 (December 2014). Authored by Rebecca Crist (Committee on Institutional Cooperation) and Emily Stambaugh (California Digital Library). (http://publications.arl.org/Shared-Print-Programs-SPEC-Kit-345)

ASERL (Judy Russell)

URL to Find Additional Information: www.scholarstrust.org. ASERL maintains a program page at http://www.aserl.org/programs/j-retain/

Date When Program Began: September 2011

Funding Model: All costs and workload for staffing and maintaining the facilities and retained materials is borne by the library that undertakes the agreement. ASERL provides part-time staffing for project coordination.

Members: A list of participating libraries is available at: www.scholarstrust.org. Membership includes 25 academic and research libraries in ASERL and 9 academic and research libraries in WRLC.

Key Driver(s) for Creation of Repository:
Scholars Trust was created to share the costs and effort of long-term retention of print journals. Scholars Trust agreement provides assurance that the journals designated under the agreement will be retained and available for research purposes as long as the need reasonably exists, thereby allowing participating ASERL and WRLC libraries to consider withdrawing duplicates of said items from their campus collections, and to rely with confidence on access to the retained copies.

Organization’s Commitment to its Members:
ASERL provides administrative support and oversight of the project and contributes funding toward the operation/maintenance of the Journal Retention and Needs Listing (JRN) database. ASERL maintains a free and publicly accessible list describing the journals retained under this agreement, as deemed appropriate by the Steering Committee.

Ownership of Materials Model: Materials designated for retention under the Scholars Trust agreement remain the property of the library that commits to retain the item(s).

Retention Commitment: Scholars Trust libraries agree to retain materials through December 31, 2035, upon which time the agreement may be renewed as desired by participating libraries. The agreement will be reviewed in 2020 and 2030 to ensure it continues to provide value to participants.

Additions to the Repository (old, new, etc.): Scholars Trust is designed primarily for storing low use print journals. Materials are selected for retention based on the completeness of the journal set and their quality/condition.

Future Projects and/or Long-term Prospects: Participating libraries are currently investigating options for subject-specific collection initiatives. The first collection of Agriculture materials is well underway with nine libraries, including the National Agriculture Library, identifying a core list of over 1,000 titles. Subject-based retention initiatives for Architecture, Law and Medicine journals are in the preliminary phases of development.
CiC (Carol Diedrichs)

URL to Find Additional Information: http://www.cic.net/projects/library/shared-print-repository/introduction

Date When Program Began: July 2011, directors agreed to fund a shared print program. MOU signed in August 2011.

Funding Model: All participating institutions share costs equally. Funds are used to support ½ FTE dedicated project manager; shipping and supplies; technical services and project time billed by host institution; and project administration costs.

Members:
1. University of Illinois
2. Indiana University
3. University of Iowa
4. University of Maryland
5. University of Michigan
6. Michigan State University
7. University of Minnesota
8. University of Nebraska–Lincoln
9. Ohio State University
10. Pennsylvania State University
11. Purdue University
12. Rutgers University
13. University of Wisconsin–Madison

Key Driver(s) for Creation of Repository:
1. Aggregate, secure, and preserve the rich print resources developed by our libraries over the past two centuries.
2. Ensure that CIC scholars and students have timely access to these archived resources. Realize the economies of scale made possible through collective action that will allow CIC libraries to apply best practices for storing, preserving, servicing, and reflecting print holdings well into the future.
3. Help our campuses reclaim local resources, including space, funds, and staff time by relieving them of the obligation to store lesser-used redundant materials.
4. Integrate CIC libraries into an emerging national network of collectively managed research library resources.

Organization's Commitment to its Members: The parties intend that, barring unforeseen circumstances, HOST SITE will retain, manage, and safeguard MATERIALS for at least twenty-five (25) years, or so long as one or more PARTICIPATING CIC LIBRARIES provide adequate financial support to defray the costs of retention. HOST SITE will support requests for MATERIALS in a manner consistent with existing CIC Reciprocal Library Borrowing policies.

Ownership of Materials Model: Supplying institutions retain ownership of all supplied volumes.

Retention Commitment: 25 years

Additions to the Repository (old, new, etc.): Backfile volumes of widely held, digitally available science journals.

Future Projects and/or Long-term Prospects: The current MOU covers the first 250,000 volumes of ingested content. The library directors of participating institutions will decide the future directions of the project, including whether to maintain the current agreement, expand the size of the collection, or expand to include non-science-journal content.
COPPUL Shared Print Archive Network (COPPUL SPAN) (Leonora Crema)

URL to Find Additional Information: http://coppul.ca/programs/shared-print

Date When Program Began: 2012

Funding Model: Member fees (~$31,000 annually) and in-kind staffing contributions. No external or grant funding.

Members: 21 university libraries in Western Canada including these ARL members: U Alberta, U British Columbia, U Calgary, U Manitoba, U Saskatchewan

Key Driver(s) for Creation of Repository:
1. Space reclamation
2. Preservation of region’s scholarly and cultural record
3. Ability to inter-operate with repositories in other regions. SPAN envisions its archives as part of an optimal copy network that includes other print archiving initiatives in North America and beyond.

Organization’s Commitment to its Members:
Apart from specific projects managed, COPPUL SPAN is committed to approaches that are lightweight (both in terms of process and governance), scalable, and that share stewardship responsibility among members to the greatest degree possible. Its Management Committee also serves as think-tank on shared print issues.

Ownership of Materials Model:
COPPUL SPAN is a distributed repository with most materials archived in place. Participants retain ownership of materials for which they are the Archive Holder. Materials relocated to an Archive Holder become property of the Archive Holder, preferably through a gift process.

Retention Commitment: 10 or 25 years, depending on risk level. For higher-risk materials, there is commitment to retain in secure, preservation-calibre storage facilities.

Additions to the Repository (old, new, etc.):
- Journal backfiles with stable electronic equivalents. Also some journals without electronic equivalents but relevant to the region. Total 3,354 titles to date.
- Federal government publications with electronic versions archived in CLOCKSS.

Future Projects and/or Long-term Prospects:
1. Monographs project currently in planning, possibly with Library & Archives Canada and other Canadian regions as part of a national ‘last copies’ initiative. One member is undertaking its own monographs analysis via OCLC-Sustainable Collection Services.
2. Continued focus on high-risk publications relevant to Western Canada.
3. SPAN’s founding terms also include prospective collection development – that is, current acquisitions being managed consortially rather than locally – though no specific discussions have occurred to date.
EAST (Laura Wood)

URL to Find Additional Information: https://www.blc.org/east-project

Date When Program Began: Still pending in 2015

Funding Model: Grant start-up funding, plus membership fees (annual as well as one-time collection analysis)

Members:
- Academic and research libraries in New England, New York, and Pennsylvania
- 47 members: 37 monograph retention partners (some also journal retention), 1 journal retention partner, 9 supporting members

Key Driver(s) for Creation of Repository:
1. Coordinated retention.
2. The mission of EAST is to ensure scholars, including faculty and students in the Northeast of the United States, have access to the scholarly record of print monographs, print journals, and serials through multi-library collaborative arrangements that ensure copies of even infrequently used materials are retained in sufficient number to be readily available. While electronic copies of many of these materials are increasingly accessible and may more conveniently meet many scholarly needs, the occasional need for access to print copies requires collaborative agreements among libraries to ensure that individual collection management decisions do not eliminate materials to the detriment of scholarly needs.

Organization’s Commitment to its Members: Project management for collection analysis and coordinator of retention commitments.

Ownership of Materials Model: Retained by retention partner.

Retention Commitment: 15 years

Additions to the Repository (old, new, etc.): Starting with retention commitments for monographs with journals to follow. Excluded government documents.

Future Projects and/or Long-term Prospects: (1) Subsequent round of collection analysis for additional members. (2) Development of retention commitments for journals. (3) Potential collaboration or coordination with other regional or national efforts.
Florida Academic Repository (FLARE) (Judy Russell)

URL to Find Additional Information: http://library.ufl.edu/flare and http://guides.uflib.ufl.edu/StatewideSharedCollection

Date When Program Began: The Board of Governors for the Florida State University System (SUS) approved the construction of a high-density storage facility in Gainesville in the fall of 2007. Policy development began in January 2008. Funding for construction planning was provided FY 2010-2011 and the shared collection was officially named FLARE in March 2012.

Funding Model: Annual assessments are billed to participating SUS Libraries and other partners. These assessments are based on institutional size (weighted student and faculty FTE) and are used to fund daily operating costs of the facility.

Members: University of Florida (managing institution) with 12 academic and research libraries in Florida. Any Federal Depository Library in Florida, Puerto Rico and the U.S. Virgin Islands can submit a “last government document in the region” to FLARE for permanent retention.

Key Driver(s) for Creation of Repository: The need for additional on-campus library space throughout the SUS. Rather than pursuing individual localized library and storage buildings, the SUS Libraries determined that from a cost-benefit perspective it was more efficient to develop a shared statewide storage program.

Organization’s Commitment to its Members: FLARE retains unique materials contributed by its partners in perpetuity, providing access as needed to those materials. FLARE provides its holdings in an online catalog (http://union.discover.fvcc.org/ux.jsp?5=0491429638008903) that is publicly accessible. ILL access is available on the same basis as provided for on-campus SUS library collections. All journals in FLARE are also in the ASERL Scholars Trust distributed print journal archive program.

Ownership of Materials Model: Ownership is permanently transferred to the Florida Academic Repository (FLARE), but libraries that discard their copy because a shared copy is in the storage facility may continue to count ownership of material duplicated in the shared collection. Government Documents represent an exception: since they are federal property, custody is transferred to FLARE, not ownership.

Retention Commitment: Material that is donated to the facility is expected to remain permanently in the facility, subject to a future review and agreement by participating libraries. Permanent retention of federal documents is governed by law.

Additions to the Repository (old, new, etc.): Federal and other government documents, print serials and monographs, maps, and microforms. Duplicate volumes/titles are not permitted. The SUS Libraries are initiating a consortial purchase model, whereby new monographs could be purchased in both electronic and print versions, with the print copy to remain in FLARE as the only SUS copy and with the electronic copy as the primary use copy for the SUS.

Future Projects and/or Long-term Prospects: Securing funding for the building of a new 5.2 million volume Harvard model storage facility; developing statewide “Special Collections” in FLARE with limited circulation (controlled use in the receiving library); refining the request/delivery/distribution mechanisms for materials in FLARE; joining RAPID ILL on behalf of FLARE; and developing a comprehensive on-demand digitization model.

Additional Information: FLARE uses the Journal Retention and Needs Listing (JRNL) database to record its holdings. ASERL for Scholars Trust also uses this database and WEST plans to as well. JRNL LibGuide available at: http://guides.uflib.ufl.edu/content.php?pid=398425&sid=3262408.
HathiTrust (Mike Furlough)

URL to Find Additional Information:  
http://www.hathitrust.org/constitutional_convention2011_ballot_proposals#proposal1  
and  
http://www.hathitrust.org/print_monographs_archive_charge

Date When Program Began: The program is currently in a preliminary planning phase, based on a 2011 member-ratified proposal to develop a Distributed Print Monographs Program.

Funding Model: TBD

Members: It is expected that all HathiTrust members would have some involvement in the program, but this is also TBD.

Key Driver(s) for Creation of Repository:  
HathiTrust’s program has been envisioned to be a distributed print archive corresponding to the digitized items in its preservation and access repository. By focusing on monographs the intention is to help the membership develop more systematic plans for long-term legacy collection management. HathiTrust members belong to nearly all-major regional shared-print initiatives. We intend to develop a program that builds off of existing relationships and commitments, which helps to builds relationships across those different programs.

Organization's Commitment to its Members: HathiTrust’s program is envisioned to leverage existing shared print initiatives and provide members with services that provide additional assurances of long-term access.

Ownership of Materials Model: Expect to rely on models already in place among existing programs.

Retention Commitment: TBD but will be consistent with prevailing models.

Additions to the Repository (old, new, etc.): Anticipated to focus initially on legacy materials.

Future Projects and/or Long-term Prospects: Preliminary planning for this initiative has been underway in 2014-15. Initial implementation plans to be announced later this year.
Western Regional Storage Trust (WEST) (Laine Farley)

URL to Find Additional Information: http://www.cdlib.org/services/west/

Date When Program Began: 2010 (planning), 2011 (implementation).

Funding Model: 50% grant funding, 50% member fees. Members share costs based on member fee tiers. Funds support archive creation (to Archive Builders for validation and ingest of a planned number of volumes each year), annual collections analyses and decision support system via CRL, and program administration. Members absorb some costs: space for housing archives, access, local deselection, and transport of holdings contributed to WEST archives.

Members: 105 member libraries in U.S. 29 members are ARL libraries. WEST Archive Holders are ARL and non-ARL members. Archive Builders (6 storage facilities, ASU, Rice, Stanford, UC NRLF, UCSRLF, U Kansas) actively consolidate, validate and create archives for higher risk print only titles. Archive Holders (~30) retain lower risk e-available titles.

Key Driver(s) for Creation of Repository: Preservation and access; space reclamation an important, institution-specific goal

Organization's Commitment to its Members: WEST is a member-driven, distributed shared print program. The program’s commitments and priorities are determined through periodic strategic planning efforts and program assessments. The California Digital Library serves as administrative host, providing a home for a program manager, collections analyst and managing WEST’s grants, member agreements, and member communications. WEST Archive Holders and Builders commit to retain titles until December 31, 2035, disclose them in OCLC and PAPR, and provide access to them. Builders have additional responsibilities, including active archive creation for a planned number of volumes each year.

Ownership of Materials Model: Ownership is by Archive Holder, gaps transferred via gifts process.

Retention Commitment: Retention period of 25 years (to 2035)

Additions to the Repository (old, new, etc.): Journal backfiles, new titles selected annually

Approximately 12,200 journals archived corresponding to approx. 433,000 volumes. Archived most STEM and other e-available titles in Portico, CLOCKSS, and JSTOR.

Future Projects and/or Long-term Prospects: In 2014, WEST completed its first full program assessment, which informed a strategic planning process to guide the future of the program. Key strategic directions and changes to the program:

1. Become a fully member-supported program by mid-2016, through member fee increases and program adjustments. Reduce volumes archived per year, shift to 2-year ingest of records for collections analysis.
2. Continue to archive print for as long as overlap exists, increasingly focus on moderately held print only titles
3. Balance archiving responsibilities amongst Builders by distributing archiving proposals based on the next deepest backfile. Convene Directors of storage facilities (Archive Builders) to discuss WEST sustainability.

In particular, areas the WEST Executive Committee has identified as desired areas for possible additional coordination by some entity:

1. Collection coordination – might include a national framework for coordinating selection decisions across shared print programs and for scaling up the size and pace of decisions; optimal copies coordination to intentionally duplicate or avoid duplicate retention commitments
2. Infrastructure coordination – to evaluate and possibly integrate or streamline systems infrastructure for journal archiving decisions including registry, collections analysis/selection decision support and gap filling tools (i.e. PAPR Registry, PAPR collections analysis, AGUA decision support, JRNL Needs and Offers capabilities)
3. Shared governance or a coordinating council to facilitate collection decisions or infrastructure decisions or both.